

Agenda Item Number

DATE October 12, 2015

COMMUNICATION FROM CONTRACTORS REQUESTING PERMISSION TO SUBLET CERTAIN ITEMS ON PUBLIC IMPROVEMENT PROJECTS

BE IT RESOLVED, BY THE CITY COUNCIL OF THE CITY OF DES MOINES, IOWA: That the attached communication from each contractor requesting permission to sublet designated items and the attached Permission to Sublet form, for each project listed below, be and are hereby approved; and each subcontractor is hereby granted permission to work on said improvement.

| Activity ID | Project Title | Contractor |
|-------------|--------------------------------------|---|
| 06-2015-001 | Indianola Avenue Widening - Phase 4 | Concrete Technologies, Inc. Brad Baumler, President 1001 SE 37th Street Grimes, IA 50111 |
| | STP-U-1945(747)70-77 | |
| 11-2014-001 | Cownie Baseball Facility Parking Lot | Grimes Asphalt and Paving Corporation Kurt Rasmussen, President 5550 NE 22nd Street Des Moines, IA 50313 |
| | RM-1945(821)9D-77 | |
| Moved by | to adopt. | |

FORM APPROVED:

Kathleen Vanderpool by CLO Kathleen Vanderpool

Deputy City Attorney

| COUNCIL ACTION | YEAS | NAYS | Pass | ABSENT | AFRIC |
|----------------|------|------|------|----------|--------------|
| COWNIE | | | | | |
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| GRAY | | | | | certify |
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| TOTAL | | | | | IN WI |
| MOTION CARRIED | I. | | _I | APPROVED | hand a above |

NE RAUH, City Clerk of said City hereby that at a meeting of the City Council of ity of Des Moines, held on the above date, other proceedings the above was adopted.

TNESS WHEREOF, I have hereunto set my and affixed my seal the day and year first written.

City Clerk

Mayor

ENGINEERING DEPARTMENT CITY OF DES MOINES, IOWA

6

Activity ID Date 06-2015-001
9/25/2015

PERMISSION TO SUBLET

| Project | Indianola Avenue Widening - Phase 4 | | | | | | |
|---------------------------|-------------------------------------|---|--------------------|-------------------------------|---------------------------------|--|--|
| Contractor | Concrete Techno | logies, Inc. | | | | | |
| Federal Tax ID 42-1502977 | | Contract No. <u>14915</u> | Contract No. 14915 | | Sublet Request No. 2 | | |
| ITEM TO BE SU | JBLET | ORGANIZATION TO PERFORM WORK | DBE Y/N | COST OF SPECIALTY ITEMS | TOTAL COST OF WORK SUBLET | | |
| Intake Construction | on | Eslinger Contracting, Inc. 4506 SE Powers Drive Runnells, IA 50237 (515) 202-3605 Federal Tax ID 20-4272250 | No | | \$143,275.00 | | |

| | Previous | This | Total |
|--|----------------|--------------|--------------------|
| | Request | Request | To Date |
| | | | |
| Cost of Items Sublet | \$2,372,526.78 | \$143,275.00 | \$2,515,801.78 (a) |
| Cost of Specialty Items Sublet | | | (b) |
| Cost of Sublet Items Less Speciality Items | \$2,372,526.78 | \$143,275.00 | \$2,515,801.78 (c) |
| Contract Amount | | • | \$3,874,826.26 (d) |
| Contract Amount Less Total Specialty Items (d-b) | | | \$3,874,826.26 (e) |
| Percentage of Contract Sublet to Date (c/e) | | | 64.93% |
| | | | |

The prime contractor's request for Permission to Sublet the above items of work is approved with the understanding that the prime contractor shall be held responsible for the subcontractors' full compliance of all terms of the contract.

Attachment: Contractor's Letter Requesting Subletting

Form Routing: Project Engr. - City Engr. -Engr. Admin. - City Clerk/City Manager -Engr. Admin. - Distribution

Form Distribution Original - Project File Copy - Project Engineer Copy - Prime Contractor

Roll Call No.

Date

ENGINEERING DEPARTMENT "ORIGINAL DOCUMENT" (when in red) Form 830231 09-13

. . . .



Contractor Concrete Technologies

Contractor Vendor ID 42-1502977

Letting Date June 16 2015

This is to request that the following subcontractor be authorized to perform the work for the items listed below. It is clearly understood by both the prime contractor and the subcontractor that all terms of the prime contract shall apply. It is also clearly understood that the subcontractor is not a third-party beneficiary of the contract between the prime contractor and the DOT.

When this subcontractor is being used to satisfy an established DBE goal, the actual amount to be paid to the DBE is indicated in Column "A". The authorization of the DOT is limited to the question of whether the subcontractor is a DBE and shall in no sense be construed as an endorsement of the DBE or an expression of opinion by the DOT on the subcontractor's ability to comply with the contract.

Subcontractor Eslinger Construction

Address 4506 SE Powers Drive, Runnels, Iowa 50237

Subcontractor Vendor ID 20-4272250

Telephone No. 515-202-3605

County Polk

Bid Order 109

Contract ID No. 77-1945-747

The following conditions apply if this is a Federal Aid Contract:

- The prime contractor is responsible for compliance by the subcontractor with Required Contract Provisions for Federal Aid Contracts, Form FHWA-1273, dated as per contract, relative to projects financed under the Federal Highway Act relative to wages and payrolls, and all terms of the prime contract applicable to the work performed by the subcontractors. The subcontract agreement shall be in writing and a copy of FHWA-1273 shall be attached.
- 2. The prime contractor is responsible for checking and submitting subcontractor's payrolis at the same time the prime contractor's payrolis are submitted when payment of predetermined wages is required.
- I do hereby certify that in requesting authorization to sublet a portion of this project we have taken affirmative action to seek out and consider disadvantaged business enterprises as potential subcontractors and/or material suppliers.

| Line No. | Item Description | Quantity | Unit Price | "A" | \$ Amount | Part Item |
|-------------|---------------------------------------|----------|-----------------------------|---|---------------------|--------------|
| | Mobilization | | | a and a second secon | | П |
| 0690 | Intake SW 509, Cast In-Place | 10 | \$9,030.00 | | \$90,300.00 | |
| 0680 | Intake SW 507, Cast In-Place | 2 | \$8,125.00 | | \$16,250.00 | 11 |
| 0250 | Intake SW 513 | 1 | \$6,875.00 | | \$6,875.00 | 1 |
| 0260 | Intake SW 545 | 2 | \$9,950.00 | 19 ⁴ 00 | \$19,900.00 | |
| 0700 | Intake SW 545 Cast In-Place | 1 | \$9,950.00 | | \$9,950.00 | |
| | | | | | | |
| | | | | | | |
| Signatu | re (Prime Contractor) Frent D Reterse | r T | fotals Carried from Back | \$0.00 | \$0.00 | <u></u> |
| | | | otals | \$0.00 | (a) \$143,275.00 | |

| a sta anna anna a | Totals | Specially Items | Totals Less Specialty Items | | % Sublet this approval |
|--------------------|--------------|-----------------|-----------------------------|--------------------------------|---------------------------|
| Contract Amount | 3,874,826.26 | | (b) | $\left(\frac{a}{b}\right)$ 100 | 3.70 |
| | | | | % Prev. Sublets | Format: 0.00 |

Total % 64,93

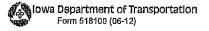
Reviewed by: mela Lodeser 4.20-15

ENGINEERING DEPARTMEN "GALOWAL DOCUMENT (when in red)

| Line No. | item Description | Quantity | Unit Price | "A" | \$ Amount | Part Item |
|-------------|---|----------------------------------|---------------------|-----|---|--------------|
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Article 1108.01 of the Standard Specifications requires the contractor to submit "Subcontract Request Form" to the Office of Contracts with the signed contract. Any additional subcontractor requests or changes after the contract has been signed should be submitted to the Project Engineer. This form is only used to request authorizations for a subcontractor performing new items added to the contract. All other requests must be submitted electronically using the .con file produced by the SiteXchange software. 

EQUAL EMPLOYMENT OPPORTUNITY AND AFFIRMATIVE ACTION POLICY

| | | Da | ate:18 May, 2015 |
|--|-----------------|-----------|------------------|
| Company Name: Eslinger Contracting, Inc | | | |
| Street Address: 4506 SE Powers Dr. | | | |
| Mailing Address: <u>4506 SE Powers Dr</u> . | | | |
| City: Runnells | | State: Ia | ZIP Code: 50237 |
| Phone: 515-202-3605 | FAX: <u>n/a</u> | | |
| E-Mail Address: eslingercontractinginc@gmail.c | com | | · |

A. Operating Statement

It is the policy of this Company to assure that applicants are employed, and that employees are treated during employment, without regard to their race, religion, sex, color, national origin, age, disability, or any other classification protected by federal, state, or local laws. Such action shall include: employment, upgrading, demotion or transfer, recruitment or recruitment advertising, layoff or termination, rates of pay or other forms of compensation, and selection for training, including apprenticeship, pre-apprenticeship, and/or on-the-job training.

B. Designation of the EEO/AA Officer

I, Scott Eslinger, Owner

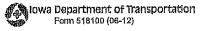
(Name, Position, Title) of this Company have <u>designated</u> the following person as the EEO/AA Officer:

Name: Scott Eslinger

This EEO/AA Officer has the responsibility for, and is <u>capable</u> of, effectively administering and promoting the EEO/AA program and is assigned adequate authority and responsibility to do so.

C. Definition of Equal Employment Opportunity (EEO) and Affirmative Action (AA)

<u>EEO</u> is the opportunity of all applicants for equal employment without regard to race, religion, sex, color, national origin, age, disability, or any other classification protected by federal, state, or local laws.



<u>AA</u> is the specific action taken to assure minorities and women will have opportunity for employment.

The distinction between the two policies is that EEO is a policy which provides consistent action in regards to employment opportunities while AA is a pro-active policy designated to increase minority and female employment opportunities.

D. <u>Recruitment Policy</u>

- We will include in all advertising and placing of job orders: "An Equal Opportunity Employer" and "Women and minorities are encouraged to apply".
- We will allow enough time, prior to making a hiring decision, to obtain a reasonable flow of female and minority applicants.
- We will encourage all our current employees to refer females and minorities.
- If it has been determined that after using our referral sources we still do not have a reasonable flow of female and minority applicants in each job classification in which we are seeking new hires, we will independently or with assistance from Iowa DOT staff, develop additional recruitment efforts.
- If it has been determined that we do have a reasonable flow of female and minority applicants on file in each job classification in which we are seeking new hires, we will immediately make hiring decisions based on our operating statement.
- I will use the following list of recruiting sources to increase minority and female applicants.

want ads in social media (craigslist, facebook)

Examples:

- want ads at female-affiliated organizations (name sources)
- want ads in the local media (name sources)
- Workforce Development
- AGC Career Board
- want ads in a foreign language (name sources)
- sponsor a women's club activity (name sources)
- promotions within the company
- assistance from Iowa DOT Civil Rights Team Staff etcetera.

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lowa Department of Transportation Form 618100 (06-12)

Union (check if applicable)

This Company will use its best efforts to obtain the cooperation of unions to increase female and minority group opportunities within the unions; and to encourage referrals of female and minority group employees by unions.

This Company will use our best efforts to develop in cooperation with the unions joint training programs aimed towards qualifying more female and minority group members for membership in the unions and increasing the skill of female and minority group employees so that they may qualify for higher-paying employment.

This Company will use our best efforts to incorporate an equal employment opportunity clause into all union agreements to the end that such unions will be contractually bound to refer applicants without regard to their age, disability, race, color, religion, sex, or national origin; making full efforts to obtain qualified female and minority group persons.

E, Non-segregated Facilities Policy

This Company certifies that it is providing non-segregated facilities for our employees. No employee is denied access to adequate facilities on the basis of sex or disability.

F. Training and Promotion Policy (check ONLY ONE of the boxes on pages 3, 4, or 5, then proceed to page 6)

Informal Training Program

This Company has an approved informal on-the-job training and promotion program.

This Company will advise prospective and current employees of available training opportunities through office postings and supervisor referral. The qualifications are that you must be an employee in good standing and have supervisory approval.

This Company will assure that all training and promotions are open to all employees, without regard to race, religion, sex, color, national origin, age, disability, or any other classification protected by federal, state, or local laws.

Annual meetings with the employees will be held to obtain employee input.

The Company will assess and evaluate the training and promotion opportunities for any interested employees yearly through this performance review.

Formal Training Program (if you check this box, complete statements on page 4)

When required to have a formal training program, we will utilize AGC's training program, or another training program that has been approved by the U.S. Department of Labor. When not required to have a formal training program, we will make use of an informal training program/ The qualifications are that you must be an employee in good standing and have supervisory approval.

This Company will advise prospective employees/trainees of available training opportunities by using the following methods: employee handbook, office postings, shop postings, training videos, on-the-job training and classroom-type education at our facility.

This Company will assure that all training and promotions are open to all prospective trainees and employees, without regard to race, religion, sex, color, national origin, age, disability, or any other classification protected by federal, state, or local laws.

State the method used to review the progress of each trainee enrolled.

State the method used to periodically review the training and promotion potential of employees.

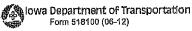
State the method of reviewing new hires, for example: new hires/trainees are monitored monthly by management to determine general progress as well as to determine any special areas of interest, direction, or difficulty.

🗌 Union

This Company will assist in locating, qualifying, and increasing the skills of minority and female group employees and applicants for employment. The training and promotion policy is open to all prospective trainees and employees without regard to race, religion, sex, color, national origin, age, or disability.

Consistent with manpower requirements, and as permissible under federal and state regulations, we will make full use of training programs, i.e.: pre-apprenticeship, apprenticeship, and/or on-the-job- training programs for the geographical area of contract performance. We will make use of an informal training program or when required to have a formal training, we will utilize our union training program(s) that has/have been approved by the U.S. Department of Labor.

Page 4 of 6



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This Company will advise employees and applicants for employment of available training programs and entrance requirements for each. This will be accomplished by job site postings.

This Company will annually review the training and promotion potential of interested employees and will encourage eligible employees to apply for such training and promotions.

This Company will review apprentice(s) progress on a monthly basis.

This Company is willing to train all applicable union trades when resources are available and it is deemed practical. We will also strive to train in the following classifications: Carpenters, Cement Masons, Iron Workers, Laborers, Machine Operators, to name a few.

This Company will advise prospective employees/trainees of available training opportunities, such as: employee handbook, on-the-job training, and classroom-type education as provided by the Apprenticeship Program as set forth by the Union.

This Company will assure that all training and promotions are open to all prospective trainees and employees, without regard to race, religion, sex, color, national origin, age, disability, or any other classification protected by federal, state, or local laws.

This Company will have a quarterly review of each trainee enrolled and will go over the review with the trainee. Each trainee's training evaluation will be retained in file and promotion opportunities will be considered yearly through a performance review.

Consultant Engineering

We intend that we will train the following job classifications (Occupational Employment Statistics Code) which are Professional, Paraprofessional, and/or Technical Occupations which require specialized schooling and/or training:

Civil Engineer Intern - require 4-year college degree and 4 years of training under a Licensed Professional Engineer.

Land Surveyor - require 4-year college degree and 4 years of training under a Professional Licensed Surveyor.

Computer-Aided Drafting Technician - require 2 year college degree or equivalent on-the-job experience.

Surveying - requires 2-year college degree or equivalent on-the-job experience.

Civil Engineering Technician - requires 2-year college degree or equivalent on-the-job experience.

The above trainees rate of pay will be based on the National, State, and Local Wage Estimates for Professional, Paraprofessional, and Technical Occupations.



Form 518100 (06-12)

Signatures of two Officers:

(Date)

ADDITIONAL INFORMATION REQUIRED

NOTE: FEDERAL REGISTER, NOVEMBER 15, 2000, VOLUME 65 now requires all contractors to provide the following information:

- 1. Age of firm or year of establishment (ONLY ONCE) 2004
- 2. Annual gross receipts of the firm; you may report by designating the appropriate bracket your firm fits into as follows:
 - Less than \$500,000
 \$500,000 \$1,000,000
 \$1 million \$2 million
 \$2 million \$5 million
 \$5 million \$10 million
 \$10 million \$20 million
 \$20 million \$50 million
 over \$50 million

You may send the completed form by the following methods:

e-mail to: dot.civilrights@dot.iowa.gov

FAX to: 515-817-6502

Mail to: Office of Employee Services, Civil Rights Team Iowa Department of Transportation 800 Lincoln Way Ames, IA 50010

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ENGINEERING DEPARTMENT CITY OF DES MOINES, IOWA Activity ID 1 Date 9

11-2014-001 9/28/2015 7 1(

PERMISSION TO SUBLET

| Project | Cownie Baseball Facility Parking Lot | | | | | |
|--|--------------------------------------|---|--------------------|------------|-------------------------------|---------------------------------|
| ContractorGrimes Asphalt and IFederal Tax ID27-1831755 | | nd Paving Corporation | | | | |
| | | Contract No. | Contract No. 14879 | | Sublet Request No. 3 | |
| ITEM TO BE SU | BLET | ORGANI TO PEF WO | FORM | DBE Y/N | COST OF SPECIALTY ITEMS | TOTAL COST OF WORK SUBLET |
| Sidewalk Paving | | T K Concrete Inc 1608 Fifield Road Pella, IA 50219 (641) 628-4590 Federal Tax ID 39 | 1 | No | | \$30,000.00 |

| | Previous Request | This Request | Total To Date | |
|--|---------------------|-----------------|------------------|-----|
| Cost of Items Sublet | \$404,502.00 | \$30,000.00 | \$434,502.00 | (a) |
| Cost of Specialty Items Sublet | | | | (b) |
| Cost of Sublet Items Less Speciality Items | \$404,502.00 | \$30,000.00 | \$434,502.00 | (c) |
| Contract Amount | | | \$779,235.25 | (d) |
| Contract Amount Less Total Specialty Items (d-b) | | | \$779,235.25 | (e) |
| Percentage of Contract Sublet to Date (c/e) | | | 55.76% | |

The prime contractor's request for Permission to Sublet the above items of work is approved with the understanding that the prime contractor shall be held responsible for the subcontractors' full compliance of all terms of the contract.

Attachment: Contractor's Letter Requesting Subletting

Form Routing: Project Engr. - City Engr. -Engr. Admin. - City Clerk/City Manager -Engr. Admin. - Distribution

Form Distribution

Original - Project File Copy - Project Engineer Copy - Prime Contractor

Roll Call No.

Date

15

Lewis, Brett A.

ć, v

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| From: | Matt Yonker <matt@grimesasphalt.com></matt@grimesasphalt.com> |
|----------|---|
| Sent: | Monday, September 28, 2015 12:46 PM |
| То: | Lewis, Brett A.; Bouska, Craig M.; McKinnie, Marty M. |
| Subject: | Cownie |

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Absolute will not be doing the sidewalks at Cownie. Tk is willing to take over and be there tomorrow. Please have them approved for \$30,000

Thanks

Matt